



# HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2018  
OF THE CONDITION AND AFFAIRS OF THE

## Anthem Health Plans of Maine, Inc.

NAIC Group Code	0671 (Current)	0671 (Prior)	NAIC Company Code	52618	Employer's ID Number	31-1705652
Organized under the Laws of	Maine				State of Domicile or Port of Entry	ME
Country of Domicile	United States of America					
Licensed as business type:	Other					
Is HMO Federally Qualified?	Yes [ ] No [ X ]					
Incorporated/Organized	03/10/2000			Commenced Business	06/05/2000	
Statutory Home Office	2 Gannett Drive (Street and Number)			South Portland, ME, US 04106-6911 (City or Town, State, Country and Zip Code)		
Main Administrative Office	2 Gannett Drive (Street and Number)			866-583-6182 (Area Code) (Telephone Number)		
	South Portland, ME, US 04106-6911 (City or Town, State, Country and Zip Code)					
Mail Address	2 Gannett Drive (Street and Number or P.O. Box)			South Portland, ME, US 04106-6911 (City or Town, State, Country and Zip Code)		
Primary Location of Books and Records	2 Gannett Drive (Street and Number)			866-583-6182 (Area Code) (Telephone Number)		
	South Portland, ME, US 04106-6911 (City or Town, State, Country and Zip Code)					
Internet Website Address	www.anthem.com					
Statutory Statement Contact:	Dan Wolke (Name)			317-488-6245 (Area Code) (Telephone Number)		
	dan.wolke@anthem.com (E-mail Address)			317-488-6200 (FAX Number)		

### OFFICERS

President	Lisa Monaghan Guertin #	Treasurer	Vincent Edward Scher #
Secretary	Kathleen Susan Kiefer	Assistant Treasurer	Eric (Rick) Kenneth Noble

### OTHER

Claudia Ellis #, Actuary

### DIRECTORS OR TRUSTEES

Lisa Monaghan Guertin #	Carter Allen Beck	Kathleen Susan Kiefer
Ronald William Penczek	Vincent Edward Scher #	John Edward Gallina
Catherine Irene Kelaghan		

State of Maine SS:  
County of Cumberland

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Lisa Monaghan Guertin  
President

Kathleen Susan Kiefer  
Secretary

Vincent Edward Scher  
Treasurer

Subscribed and sworn to before me this 8th day of November, 2018

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

**SHARON W. BERRY**  
Notary Public, Maine  
My Commission Expires January 8, 2024

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	270,607,133	0	270,607,133	217,739,541
2. Stocks:				
2.1 Preferred stocks .....			0	
2.2 Common stocks .....			0	
3. Mortgage loans on real estate:				
3.1 First liens .....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	72,500	0	72,500	72,500
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	
5. Cash (\$ .....(3,013,741) ), cash equivalents (\$ .....2,488,999 ) and short-term investments (\$ .....4,291,303 ) .....	3,766,561		3,766,561	14,456,693
6. Contract loans (including \$ ..... premium notes) .....			0	
7. Derivatives .....			0	
8. Other invested assets .....	0		0	137,479
9. Receivables for securities .....			0	
10. Securities lending reinvested collateral assets .....	10,770,715		10,770,715	5,636,270
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	285,216,909	0	285,216,909	238,042,483
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	
14. Investment income due and accrued .....	1,749,267	0	1,749,267	1,916,816
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	25,435,504	3,694,936	21,740,568	38,266,803
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....19,349,834 earned but unbilled premiums) .....	19,349,834	0	19,349,834	19,601,623
15.3 Accrued retrospective premiums (\$ .....293,540 ) and contracts subject to redetermination (\$ .....2,152,747 ) .....	2,446,287	0	2,446,287	2,327,761
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	19,750	0	19,750	582,818
16.2 Funds held by or deposited with reinsured companies .....			0	
16.3 Other amounts receivable under reinsurance contracts .....			0	
17. Amounts receivable relating to uninsured plans .....	33,717,805	1,812,352	31,905,453	32,487,159
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	829,517
18.2 Net deferred tax asset .....	1,019,325	0	1,019,325	7,487,433
19. Guaranty funds receivable or on deposit .....	220,481	0	220,481	220,481
20. Electronic data processing equipment and software .....			0	
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	4,029,658	4,029,658	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	
23. Receivables from parent, subsidiaries and affiliates .....			0	16,013,029
24. Health care (\$ .....70,364,946 ) and other amounts receivable .....	74,444,869	4,079,923	70,364,946	74,697,389
25. Aggregate write-ins for other than invested assets .....	64,946,666	979,639	63,967,027	65,489,646
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	512,596,355	14,596,508	497,999,847	497,962,958
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	
28. Total (Lines 26 and 27)	512,596,355	14,596,508	497,999,847	497,962,958
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. FEP Assets Held By Agent .....	63,810,589	0	63,810,589	65,322,456
2502. Miscellaneous Receivables .....	275,857	119,419	156,438	167,190
2503. Prepaid Expenses .....	860,220	860,220	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	64,946,666	979,639	63,967,027	65,489,646

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ .....0 reinsurance ceded) .....	73,506,481	811,954	74,318,435	142,546,150
2. Accrued medical incentive pool and bonus amounts .....	724,036	0	724,036	3,916,151
3. Unpaid claims adjustment expenses .....	2,001,366	0	2,001,366	2,627,729
4. Aggregate health policy reserves, including the liability of \$ .....0 for medical loss ratio rebate per the Public Health Service Act .....	103,934,390	0	103,934,390	115,228,149
5. Aggregate life policy reserves .....			0	
6. Property/casualty unearned premium reserve .....			0	
7. Aggregate health claim reserves .....	19,363	0	19,363	71,391
8. Premiums received in advance .....	9,551,912	0	9,551,912	8,083,578
9. General expenses due or accrued .....	7,787,797	0	7,787,797	3,957,937
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....	8,357,525	0	8,357,525	
10.2 Net deferred tax liability .....			0	
11. Ceded reinsurance premiums payable .....			0	
12. Amounts withheld or retained for the account of others.....	208,098	0	208,098	223,825
13. Remittances and items not allocated .....	4,265,709	0	4,265,709	15,194,771
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	
15. Amounts due to parent, subsidiaries and affiliates .....	43,454,571	0	43,454,571	0
16. Derivatives .....			0	
17. Payable for securities .....	2,600,000	0	2,600,000	
18. Payable for securities lending .....	10,770,715	0	10,770,715	5,636,270
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers).....			0	
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	
22. Liability for amounts held under uninsured plans .....	23,209,861	0	23,209,861	25,231,097
23. Aggregate write-ins for other liabilities (including \$ .....3,141,389 current) .....	5,965,032	0	5,965,032	9,860,650
24. Total liabilities (Lines 1 to 23) .....	296,356,856	811,954	297,168,810	332,577,698
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	11,381,247	33,382,497
26. Common capital stock .....	XXX	XXX	2,500,000	2,500,000
27. Preferred capital stock .....	XXX	XXX		
28. Gross paid in and contributed surplus .....	XXX	XXX	120,652,900	120,652,900
29. Surplus notes .....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	66,296,890	8,849,863
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	200,831,037	165,385,260
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	497,999,847	497,962,958
DETAILS OF WRITE-INS				
2301. Escheat Liability .....	1,949,898		1,949,898	1,663,432
2302. Other premium liability .....	1,658,650		1,658,650	1,342,510
2303. FEP Special Advance .....	1,178,314		1,178,314	2,854,240
2398. Summary of remaining write-ins for Line 23 from overflow page .....	1,178,170	0	1,178,170	4,000,468
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	5,965,032	0	5,965,032	9,860,650
2501. Deferred gain on sale-leaseback transaction .....	XXX	XXX	11,381,247	12,347,874
2502. Estimated ACA Health Insurer fee .....	XXX	XXX	0	21,034,623
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	11,381,247	33,382,497
3001. ....	XXX	XXX		
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	3,080,351	3,515,761	4,670,930
2. Net premium income ( including \$ .....0 non-health premium income).....	XXX	738,048,366	875,476,847	1,166,212,759
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	2,075,497	( 14,809,172)	(26,670,469)
4. Fee-for-service (net of \$ .....0 medical expenses).....	XXX			
5. Risk revenue .....	XXX			
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	740,123,863	860,667,675	1,139,542,290
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	5,154,496	471,792,842	566,336,638	759,789,341
10. Other professional services .....	79,834	7,307,208	7,406,348	9,849,648
11. Outside referrals .....				0
12. Emergency room and out-of-area .....	206,122	18,866,374	18,859,608	25,470,207
13. Prescription drugs .....	1,395,449	127,725,909	143,582,191	195,252,337
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....		2,721,798	6,691,003	8,789,422
16. Subtotal (Lines 9 to 15) .....	6,835,901	628,414,131	742,875,788	999,150,955
<b>Less:</b>				
17. Net reinsurance recoveries .....			288,332	281,683
18. Total hospital and medical (Lines 16 minus 17) .....	6,835,901	628,414,131	742,587,456	998,869,272
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$ .....11,288,147 cost containment expenses .....		19,264,166	18,682,497	31,989,954
21. General administrative expenses .....		54,955,187	51,803,998	61,119,570
22. Increase in reserves for life and accident and health contracts (including \$ ..... increase in reserves for life only) .....			132,877	0
23. Total underwriting deductions (Lines 18 through 22).....	6,835,901	702,633,484	813,206,828	1,091,978,796
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	37,490,379	47,460,847	47,563,494
25. Net investment income earned .....		6,647,877	4,572,499	6,339,836
26. Net realized capital gains (losses) less capital gains tax of \$ .....222,716 .....		1,462,172	1,737,116	2,114,593
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	8,110,049	6,309,615	8,454,429
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ..... ) (amount charged off \$ .....11,353 )].....		( 11,353)	(20,515)	(89,957)
29. Aggregate write-ins for other income or expenses .....	0	572,715	208,286	315,530
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	46,161,790	53,958,233	56,243,497
31. Federal and foreign income taxes incurred .....	XXX	4,504,360	18,334,192	18,502,460
32. Net income (loss) (Lines 30 minus 31) .....	XXX	41,657,430	35,624,041	37,741,037
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX			
0602. ....	XXX			
0603. ....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	0	0
0701. ....	XXX			
0702. ....	XXX			
0703. ....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	0	0	0
2901. Miscellaneous income (expense) .....	0	572,715	208,286	315,530
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	572,715	208,286	315,530

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	165,385,260	150,447,929	150,447,929
34. Net income or (loss) from Line 32 .....	41,657,430	35,624,041	37,741,037
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....(7,350)	(45,131)	(115,922)	(209,547)
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....	(8,677,450)	1,570,501	(8,616,087)
39. Change in nonadmitted assets .....	3,477,555	(3,967,771)	6,310,763
40. Change in unauthorized and certified reinsurance .....	0		
41. Change in treasury stock .....	0		
42. Change in surplus notes .....	0		
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in .....	0		
44.2 Transferred from surplus (Stock Dividend).....	0		
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in .....	0		
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			(19,000,000)
47. Aggregate write-ins for gains or (losses) in surplus .....	(966,626)	(966,626)	(1,288,835)
48. Net change in capital & surplus (Lines 34 to 47) .....	35,445,778	32,144,223	14,937,331
49. Capital and surplus end of reporting period (Line 33 plus 48)	200,831,037	182,592,152	165,385,260
DETAILS OF WRITE-INS			
4701. Deferred gain on sale-leaseback transaction .....	(966,626)	(966,626)	(1,288,835)
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	(966,626)	(966,626)	(1,288,835)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	747,626,184	869,772,552	1,148,550,362
2. Net investment income .....	6,972,167	5,520,078	6,745,288
3. Miscellaneous income .....	0		
4. Total (Lines 1 to 3) .....	754,598,351	875,292,630	1,155,295,650
5. Benefit and loss related payments .....	699,322,921	727,402,993	962,365,822
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	71,728,521	81,843,706	86,498,760
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ .....222,716 tax on capital gains (losses) .....	(4,459,966)	19,475,501	19,475,500
10. Total (Lines 5 through 9) .....	766,591,476	828,722,200	1,068,340,082
11. Net cash from operations (Line 4 minus Line 10) .....	(11,993,125)	46,570,430	86,955,567
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	38,290,408	81,395,913	110,436,759
12.2 Stocks .....	0		
12.3 Mortgage loans .....	0		
12.4 Real estate .....	0		
12.5 Other invested assets .....	0		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	(291)	1,067	(1,932)
12.7 Miscellaneous proceeds .....	2,600,000	3,426,691	4,848,866
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	40,890,117	84,823,671	115,283,693
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	90,501,111	85,407,337	137,276,855
13.2 Stocks .....	0		
13.3 Mortgage loans .....	0		
13.4 Real estate .....	0		
13.5 Other invested assets .....	0		
13.6 Miscellaneous applications .....	5,134,445		
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	95,635,556	85,407,337	137,276,855
14. Net increase (or decrease) in contract loans and premium notes .....	0		
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(54,745,439)	(583,666)	(21,993,162)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0		
16.2 Capital and paid in surplus, less treasury stock .....	0		
16.3 Borrowed funds .....	0		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0		
16.5 Dividends to stockholders .....	0	0	19,000,000
16.6 Other cash provided (applied) .....	56,048,433	26,607,681	(24,921,009)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	56,048,433	26,607,681	(43,921,009)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(10,690,132)	72,594,445	21,041,396
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	14,456,693	(6,584,704)	(6,584,704)
19.2 End of period (Line 18 plus Line 19.1) .....	3,766,561	66,009,741	14,456,693

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1  Total	Comprehensive (Hospital & Medical)		4  Medicare Supplement	5  Vision Only	6  Dental Only	7  Federal Employees Health Benefit Plan	8  Title XVIII Medicare	9  Title XIX Medicaid	10  Other
		2  Individual	3  Group							
Total Members at end of:										
1. Prior Year .....	382,011	24,402	106,009	19,811	136,883	22,703	36,168	3,846	0	32,189
2. First Quarter .....	358,254	3,271	101,993	19,951	141,326	22,957	36,207	74	0	32,475
3. Second Quarter .....	358,856	3,104	101,772	20,250	141,734	22,626	36,264	75	0	33,031
4. Third Quarter .....	365,793	2,986	103,047	20,472	142,686	21,800	36,331	77	0	38,394
5. Current Year	0									
6. Current Year Member Months	3,080,351	28,826	921,286	181,281	1,272,654	203,330	326,100	673	0	146,201
Total Member Ambulatory Encounters for Period:										
7. Physician .....	952,601	14,216	610,564	164,366			162,897	558		
8. Non-Physician .....	645,433	5,408	212,431	271,482			155,077	1,035		
9. Total	1,598,034	19,624	822,995	435,848	0	0	317,974	1,593	0	0
10. Hospital Patient Days Incurred	43,641	625	19,188	15,330			8,431	67		
11. Number of Inpatient Admissions	9,873	118	4,652	3,245			1,847	11		
12. Health Premiums Written (a) .....	738,091,485	19,166,020	507,235,254	35,669,080	5,630,912	6,517,027	156,376,134	789,677	0	6,707,381
13. Life Premiums Direct .....	0									
14. Property/Casualty Premiums Written .....	0									
15. Health Premiums Earned .....	740,166,982	19,166,020	508,057,769	35,406,192	5,622,556	6,515,555	157,888,000	803,509	0	6,707,381
16. Property/Casualty Premiums Earned .....	0									
17. Amount Paid for Provision of Health Care Services.....	699,885,989	20,447,276	480,110,046	32,423,088	3,982,802	4,484,426	144,937,785	6,782,691	0	6,717,875
18. Amount Incurred for Provision of Health Care Services	628,414,131	8,032,652	432,297,583	29,350,243	3,954,573	4,424,968	144,239,321	(220,214)	0	6,335,005

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ .....789,677

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]



UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5  Claims Incurred in Prior Years (Columns 1 + 3)	6  Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1  On Claims Incurred Prior to January 1 of Current Year	2  On Claims Incurred During the Year	3  On Claims Unpaid Dec. 31 of Prior Year	4  On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	95,868,164	412,159,935	534,156	49,251,704	96,402,320	107,391,864
2. Medicare Supplement .....	7,462,869	25,524,741	128,685	4,431,705	7,591,554	7,633,238
3. Dental Only .....	279,040	4,208,581	21,041	348,702	300,081	429,201
4. Vision Only .....	275,742	3,707,060	2,725	276,922	278,467	307,876
5. Federal Employees Health Benefits Plan .....	19,322,823	124,535,969	33,772	18,742,644	19,356,595	19,020,443
6. Title XVIII - Medicare .....	3,886,083	2,897,009	(82,878)	157,192	3,803,205	6,960,621
7. Title XIX - Medicaid .....	0	0	0	0	0	0
8. Other health .....	592,103	6,125,772	(20,656)	512,084	571,447	874,298
9. Health subtotal (Lines 1 to 8) .....	127,686,824	579,159,067	616,845	73,720,953	128,303,669	142,617,541
10. Healthcare receivables (a) .....	2,154,451	10,719,364	0	0	2,154,451	0
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	3,753,861	2,160,052	88,952	635,084	3,842,813	3,916,151
13. Totals (Lines 9-10+11+12)	129,286,234	570,599,755	705,797	74,356,037	129,992,031	146,533,692

(a) Excludes \$ 61,571,054 loans or advances to providers not yet expensed.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

For the purposes of the quarterly interim financial information, it is presumed that the users of the interim financial information have read or have access to the Annual Statement as of December 31, 2017. This presentation addresses only significant events occurring since the last Annual Statement.

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Anthem Health Plans of Maine, Inc. (the “Company”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Annual Statement Instructions* and in accordance with accounting practices prescribed by the NAIC *Accounting Practices and Procedures Manual* (“NAIC SAP”), subject to any deviations prescribed or permitted by the Maine Bureau of Insurance (the “Bureau”).

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Bureau is shown below:

	SSAP #	F/S Page	F/S Line #	September 30, 2018	December 31, 2017
<b><u>Net Income</u></b>					
(1) Anthem Health Plans of Maine, Inc. state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 41,657,430	\$ 37,741,037
(2) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:				—	—
(3) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 41,657,430	\$ 37,741,037
<b><u>Surplus</u></b>					
(5) Anthem Health Plans of Maine, Inc. state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$200,831,037	\$165,385,260
(6) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:				—	—
(7) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$200,831,037	\$165,385,260

B. Use of Estimates in the Preparation of the Financial Statements

Preparation of financial statements requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates. During 2018, the Company had a change in estimate relating to the Tax Cuts and Jobs Act that resulted in a net increase of \$6,355,889 of deferred tax assets and liabilities.

## NOTES TO FINANCIAL STATEMENTS

### **C. Accounting Policies**

- (1) - (5) No significant change.
- (6) Loan-backed securities are stated at amortized cost. Pre-payment assumptions for loan-backed securities and structured securities were obtained from broker-dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized cost or fair value.
- (7) - (16) No significant change.

### **D. Going Concern**

Not applicable.

### **2. Accounting Changes and Corrections of Errors**

Not applicable.

### **3. Business Combinations and Goodwill**

Not applicable.

### **4. Discontinued Operations**

Not applicable.

### **5. Investments**

#### **A. - C.**

Not applicable.

#### **D. Loan-Backed Securities**

- (1) Prepayment assumptions for single-class and multi-class mortgage-backed and asset-backed securities were obtained from broker-dealer survey values or internal estimates. The Company used various third-party pricing sources in determining the market value of its loan-backed securities.
- (2) The Company did not recognize other-than-temporary impairments on its loan-backed securities during the nine months ended September 30, 2018.
- (3) The Company did not hold other-than-temporary impairments on its loan-backed securities at September 30, 2018.
- (4) The Company had no impaired securities for which an other-than-temporary impairment had not been recognized in earnings as a realized loss at September 30, 2018.
- (5) The Company had no impaired loan-backed securities at September 30, 2018.

### **E. Dollar Repurchase Agreements and/or Securities Lending Transactions**

- (1) Not applicable.
- (2) No significant change.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

(3) Collateral Received

- a. No significant change.
- b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged \$ 10,776,866
- c. No significant change.

(4) Not applicable.

(5) No significant change.

(6) Not applicable.

(7) Not applicable.

**F. Repurchase Agreements Transactions Accounted for as Secured Borrowing**

The Company did not enter into repurchase agreement transactions accounted for as secured borrowing at September 30, 2018.

**G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing**

The Company did not enter into reverse repurchase agreement transactions accounted for as a secured borrowing at September 30, 2018.

**H. Repurchase Agreements Transactions Accounted for as a Sale**

The Company did not enter into repurchase agreement transactions accounted for as a sale at September 30, 2018.

**I. Reverse Repurchase Agreements Transactions Accounted for as a Sale**

The Company did not enter into reverse repurchase agreement transactions accounted for as a sale at September 30, 2018.

**J. Real Estate**

No significant change.

**K. Investments in Low-Income Housing Tax Credits**

No significant change.

**L. Restricted Assets**

No significant change.

**M. Working Capital Finance Investments**

Not applicable.

**N. Offsetting and Netting of Assets and Liabilities**

The Company had no netted assets and liabilities at September 30, 2018.

**O. Structured Notes**

Not applicable.

**P. 5\* Securities**

The Company has no 5\* Securities as of September 30, 2018.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

Q. Short Sales

The Company did not have any short sales at September 30, 2018.

R. Prepayment Penalty and Acceleration Fees

	General Account
(1) Number of CUSIPs	1
(2) Aggregate Amount of Investment Income \$	39,281

6. Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

7. Investment Income

No significant change.

8. Derivative Instruments

Not applicable.

9. Income Taxes

A. - F.

No significant change.

G.

The Company has a tax loss contingency in the amount of \$5,281,841 to offset a tax benefit due to an accelerated tax deduction taken on the 2017 consolidated tax return related to prepaid property and services. The accelerated deduction requires approval by the Internal Revenue Service prior to taking the deduction.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. - C.

No significant change.

D. Amounts Due to or from Related Parties

At September 30, 2018, the Company reported no amounts due from affiliates and \$43,454,571 due to affiliates. The payable balance represents intercompany transactions that will be settled in accordance with the settlement terms of the intercompany agreement.

E. - N.

No significant change.

11. Debt

Not applicable.

NOTES TO FINANCIAL STATEMENTS

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

**A. Defined Benefit Plan**

Not applicable.

**B.** Not applicable.

**C.** Not applicable.

**D.** Not applicable.

**E. Defined Contribution Plans**

Not applicable.

**F. Multiemployer Plans**

The Company does not participate in a multiemployer plan.

**G. Consolidated/Holding Company Plans**

No significant change.

**H. Post Employment Benefits and Compensated Absences**

Not applicable.

**I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)**

Not applicable.

**13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

**(1) - (8)**

No significant change.

**(9) Changes in Special Surplus Funds**

The change in balances of special surplus funds from the prior year are due to changes in the amounts segregated for the estimated Affordable Care Act ("ACA") health insurer fee. As of September 30, 2018, the Company fully expensed the estimated 2018 insurer fee. The insurer fee was suspended for 2019.

**(10) - (13)**

No significant change.

**14. Liabilities, Contingencies and Assessments**

**A. Contingent Commitments**

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

B. Assessments

(1) - (2)

No significant change.

(3) Guaranty Fund Liabilities and Assets Related to Insolvencies of Entities That Wrote Long-Term Care Contracts

- a. Discount Rate Applied3.5%
- b. The Undiscounted and Discounted Amount of the Guaranty Fund Assessments and Related Assets by Insolvency:

Name of the Insolvency	Guaranty Fund Assessment		Related Assets	
	Undiscounted	Discounted	Undiscounted	Discounted
Penn Treaty Network America Insurance Company and its subsidiary, American Network Insurance Company (collectively "Penn Treaty")	\$272,124	\$189,026	\$267,266	\$220,481

c. Number of Jurisdictions, Ranges of Years Used to Discount and Weighted Average Number of Years of the Discounting Time Period for Payables and Recoverables by Insolvency:

Name of the Insolvency	Payables			Recoverables		
	Number of Jurisdictions	Range of Years	Weighted Average Number of Years	Number of Jurisdictions	Range of Years	Weighted Average Number of Years
Penn Treaty	1	1-20	10.5	1	1-26	9.0

C. - F.

No significant change.

15. Leases

No significant change.

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

B. Transfer and Servicing of Financial Assets

- (1) The Company participates in a securities lending program whereby marketable securities in its investment portfolio are transferred to independent brokers or dealers. At September 30, 2018 the fair value of securities loaned was \$10,553,713 and the carrying value of securities loaned was \$10,560,571.
- (2) - (7) Not applicable.

C. Wash Sales

- (1) In the course of the Company’s asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the yield on the investments.
- (2) At September 30, 2018, there were no wash sales involving securities with an NAIC designation of 3 or below or unrated.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only Plans

No significant change.

B. Administrative Services Contract Plans

No significant change.

C. Medicare or Other Similarly Structured Cost-Based Reimbursement Contract

No significant change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable.

20. Fair Value Measurements

A.

(1) Fair Value Measurement at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total	Net Asset Value (“NAV”) Included in Level 2
a. Assets at fair value					
Bonds					
Industrial and misc	\$ —	\$ 3,036,601	\$ —	\$ 3,036,601	\$ —
Total bonds	\$ —	\$ 3,036,601	\$ —	\$ 3,036,601	\$ —
Total assets at fair value	\$ —	\$ 3,036,601	\$ —	\$ 3,036,601	\$ —

(2) Fair Value Measurement in (Level 3) of the Fair Value Hierarchy

There are no investments in Level 3 as of September 30, 2018.



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

- (3) The Company's policy is to recognize transfers between Levels, if any, as of the beginning of the reporting period.
- (4) Fair values of bonds are based on quoted market prices, where available. These fair values are obtained primarily from third party pricing services, which generally use Level 1 or Level 2 inputs, for the determination of fair value to facilitate fair value measurements and disclosures. Level 2 securities primarily include United States government securities, corporate securities, securities from states, municipalities and political subdivisions, residential mortgage-backed securities and certain other asset-backed securities. For securities not actively traded, the pricing services may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. The Company has controls in place to review the pricing services' qualifications and procedures used to determine fair values. In addition, the Company periodically reviews the pricing services' pricing methodologies, data sources and pricing inputs to ensure the fair values obtained are reasonable.

Cash equivalents primarily consist of highly rated money market funds or bonds with original maturities of three months or less. Due to the high ratings and short-term nature of these investments, cash equivalents are primarily designated as Level 1.

There have been no significant changes in the valuation techniques during the current period.

B. Fair Value Measurements Under Other Accounting Pronouncements

Not applicable.

C. Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value ("NAV") Included in Level 2
Bonds	\$ 265,766,488	\$ 270,607,133	\$ —	\$ 265,766,488	\$ —	\$ —	\$ —
Cash equivalents	2,488,798	2,488,999	—	2,488,798	—	—	—
Short-term investments	4,291,303	4,291,303	—	4,291,303	—	—	—
Securities lending collateral asset	10,776,866	10,770,715	7,527,193	3,249,673	—	—	—

D. Not Practicable to Estimate Fair Value

There are no financial instruments that were not practicable to estimate at fair value.

21. Other Items

No significant change.

22. Events Subsequent

Subsequent events have been considered through November 12, 2018 for the statutory statement issued on November 13, 2018. There were no events occurring subsequent to September 30, 2018 requiring recognition or disclosure.

23. Reinsurance

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. - D.

No significant change.

E. Risk Sharing Provisions of the Affordable Care Act ("ACA")

(1)	Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions (YES/NO)?	Yes
(2)	Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year	
a.	Permanent ACA Risk Adjustment Program Assets	
	Assets	
	1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments)	\$ 1,924,416
	Liabilities	
	2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 19,178
	3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premiums)	\$ 243,393
	Operations (Revenue & Expense)	
	Reported as revenue in premium for accident and health contracts (written/ collected) due to ACA Risk Adjustment	\$ 883,101
	5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 19,965
b.	Transitional ACA Reinsurance Program	
	Assets	
	1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 19,750
	2. Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	\$ —
	Amounts receivable relating to uninsured plans for contributions for ACA	
	3. Reinsurance	\$ —
	Liabilities	
	Liabilities for contributions payable due to ACA Reinsurance - not reported as	
	4. ceded premium	\$ —
	5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ —
	Liability for amounts held under uninsured plans contributions for ACA	
	6. Reinsurance	\$ —
	Operations (Revenue & Expense)	
	7. Ceded reinsurance premiums due to ACA Reinsurance	\$ —
	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or	
	8. expected payments	\$ —
	9. ACA Reinsurance contributions - not reported as ceded premium	\$ —
c.	Temporary ACA Risk Corridors Program	
	Assets	
	1. Accrued retrospective premium due to ACA Risk Corridors	\$ —
	Liabilities	
	Reserve for rate credits or policy experience rating refunds due to ACA Risk	
	2. Corridors	\$ —
	Operations (Revenue & Expense)	
	3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$ —
	4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ —
	Line items where the amount is zero is due to no balance and/or no activity as of the reporting date.	

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
				Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
1	2	3	4	5	6	7	8		9	10
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program										
1. Premium adjustments receivable (including high risk pool payments)	\$ 1,152,846	\$ —	\$ —	\$ —	\$ 1,152,846	\$ —	\$(1,152,846)	\$ —	A	\$ —
2. Premium adjustments (payable) (including high risk pool premiums)	\$ —	\$ 9,070,115	\$ —	\$ 8,715,191	\$ —	\$ 354,924	\$ —	\$(354,924)	B	\$ —
3. Subtotal ACA Permanent Risk Adjustment Program	\$ 1,152,846	\$ 9,070,115	\$ —	\$ 8,715,191	\$ 1,152,846	\$ 354,924	\$(1,152,846)	\$(354,924)		\$ —
b. Transitional ACA Reinsurance Program										
1. Amounts recoverable for claims paid	\$ 582,818	\$ —	\$ 563,068	\$ —	\$ 19,750	\$ —	\$ —	\$ —	C	\$ 19,750
2. Amounts recoverable for claims unpaid (contra liability)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	D	\$ —
3. Amounts receivable relating to uninsured plans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	E	\$ —
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	F	\$ —
5. Ceded reinsurance premiums payable	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	G	\$ —
6. Liability for amounts held under uninsured plans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	H	\$ —
7. Subtotal ACA Transitional Reinsurance Program	\$ 582,818	\$ —	\$ 563,068	\$ —	\$ 19,750	\$ —	\$ —	\$ —		\$ 19,750
c. Temporary ACA Risk Corridors Program										
1. Accrued retrospective premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	I	\$ —
2. Reserve for rate credits or policy experience rating refunds	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	J	\$ —
3. Subtotal ACA Risk Corridors Program	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —
d. Total for ACA Risk Sharing Provisions	\$ 1,735,664	\$ 9,070,115	\$ 563,068	\$ 8,715,191	\$ 1,172,596	\$ 354,924	\$(1,152,846)	\$(354,924)		\$ 19,750

Explanations of adjustments

- A Adjustments were made to reflect the ending balance in the Centers for Medicare & Medicaid Services "Summary Report on Permanent Risk Adjustment Transfers for the 2017 Benefit Year."
- B Adjustments were made to reflect the ending balance in the Centers for Medicare & Medicaid Services "Summary Report on Permanent Risk Adjustment Transfers for the 2017 Benefit Year."
- C Not applicable.
- D Not applicable.
- E Not applicable.
- F Not applicable.
- G Not applicable.
- H Not applicable.
- I Not applicable.
- J Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year..

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	A	\$ —	\$ —
2. Reserve for rate credits for policy experience rating refunds	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	B	\$ —	\$ —
b. 2015											
1. Accrued retrospective premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	C	\$ —	\$ —
2. Reserve for rate credits for policy experience rating refunds	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	D	\$ —	\$ —
c. 2016											
1. Accrued retrospective premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	E	\$ —	\$ —
2. Reserve for rate credits for policy experience rating refunds	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	F	\$ —	\$ —
Total for Risk Corridors	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —

Explanations of adjustments

- A Not applicable.
- B Not applicable.
- C Not applicable.
- D Not applicable.
- E Not applicable.
- F Not applicable.

24E(4)d (Columns 1 through 10) should equal 24E(3)c3 (Column 1 through 10 respectively)

(5) ACA Risk Corridors Receivable as of Reporting Date.

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset Balance (Gross of Non-admissions) (1 - 2 - 3)	5 Non-admitted Amount	6 Net Admitted Asset (4 - 5)
a. 2014	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. 2015	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
c. 2016	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
d. Total (a + b + c)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

24E(5)d (Columns 4) should equal 24E(3)c1 (Column 9)

24E(5)d (Columns 6) should equal 24E(2)c1

25. Change in Incurred Claims and Claim Adjustment Expenses

- A. The estimated cost of claims and claim adjustment expense attributable to insured events of prior years decreased by \$14,897,263 during 2018. This is approximately 10.0% of unpaid claims and claim adjustment expenses of \$149,161,421 as of December 31, 2017. The redundancy reflects the decreases in estimated claims and claims adjustment expenses as a result of claims payment during the year, and as additional information is received regarding claims incurred prior to 2018. Recent claim development trends are also taken into account in evaluating the overall adequacy of unpaid claims and unpaid claim adjustment expense.
- B. There were no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.  
**NOTES TO FINANCIAL STATEMENTS**

**26. Intercompany Pooling Arrangements**

Not applicable.

**27. Structured Settlements**

Not applicable.

**28. Health Care Receivables**

No significant change.

**29. Participating Policies**

Not applicable.

**30. Premium Deficiency Reserves**

The Company did not record any premium deficiency reserves at September 30, 2018.

**31. Anticipated Salvage and Subrogation**

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [ ] No [ X ]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [ ] No [ ]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [ ] No [ X ]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ X ] No [ ]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [ ] No [ X ]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [ X ] No [ ]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001156039

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [ ] No [ X ]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes [ ] No [ X ] N/A [ ]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2017

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2013

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/29/2015

6.4

By what department or departments?  
State of Maine Bureau of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [ ] No [ ] N/A [ X ]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [ ] No [ ] N/A [ X ]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [ ] No [ X ]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [ ] No [ X ]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [ ] No [ X ]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [ X ] No [ ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).  
Administrative changes were made in February 2018 to update the President & CEO letter, and to clarify our sexual harassment policy.
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ ] No [ X ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

\$ .....

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$ .....
13.

Amount of real estate and mortgages held in short-term investments: .....

\$ .....
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ ] No [ X ]
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$ .....0   | \$ .....0  |
| 14.22 Preferred Stock .....   | \$ .....0   | \$ .....0  |
| 14.23 Common Stock .....  | \$ .....0   | \$ .....0  |
| 14.24 Short-Term Investments .....  | \$ .....0   | \$ .....0  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0   | \$ .....0  |
| 14.26 All Other .....   | \$ .....0   | \$ .....0  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0   | \$ .....0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....  | \$ .....   |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [ ] No [ ]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$

10,776,866
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$

10,770,715
- 16.3

Total payable for securities lending reported on the liability page

\$

10,770,715

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase Bank, N.A	383 Madison Ave, New York, NY 10179

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ☐ No ☒

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Anthem, Inc.	I
McDonnell Investment Management, LLC	U
Pacific Investment Management Company	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes ☐ No ☐

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes ☒ No ☐

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
113878	McDonnell Investment Management, LLC		Securities Exchange Commission	NO
104559	Pacific Investment Management Company	549300KGPYQZXGMYYN38	Securities Exchange Commission	NO

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes ☒ No ☐

- 18.2 If no, list exceptions:

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

- Has the reporting entity self-designated 5\*GI securities? Yes ☐ No ☒



GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

86.4 %

1.2 A&H cost containment percent

1.5 %

1.3 A&H expense percent excluding cost containment expenses

8.5 %
- 2.1

Do you act as a custodian for health savings accounts?

Yes [ ] No [ X ]
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$
- 2.3

Do you act as an administrator for health savings accounts?

Yes [ ] No [ X ]
- 2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$
3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [ ] No [ X ]
- 3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [ ] No [ X ]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

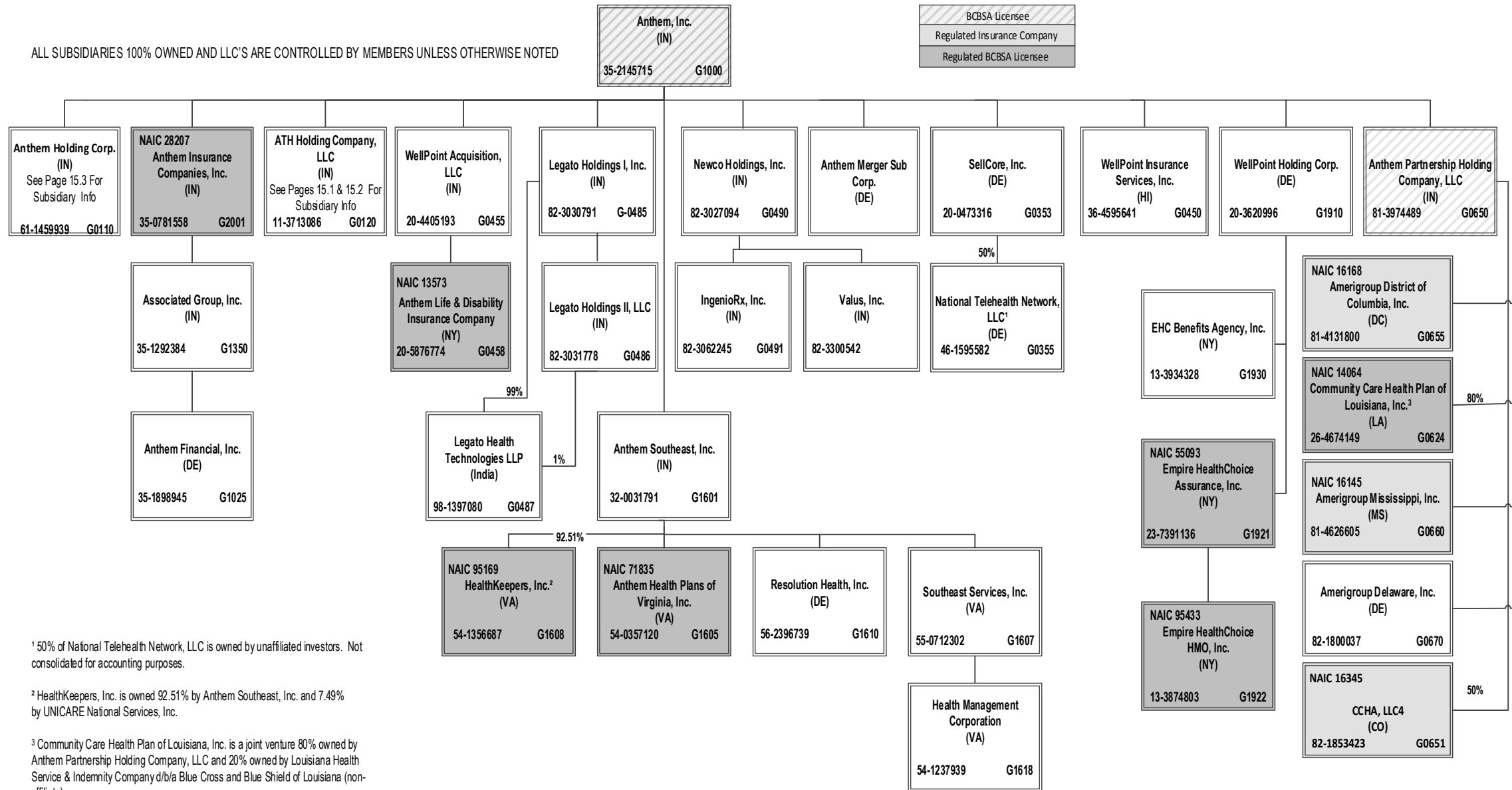
Current Year to Date - Allocated by States and Territories

			1	Direct Business Only							
			2	3	4	5	6	7	8	9	
States, etc.			Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama .....	AL	N							.0	
2.	Alaska .....	AK	N							.0	
3.	Arizona .....	AZ	N							.0	
4.	Arkansas .....	AR	N							.0	
5.	California .....	CA	N							.0	
6.	Colorado .....	CO	N							.0	
7.	Connecticut .....	CT	N							.0	
8.	Delaware .....	DE	N							.0	
9.	District of Columbia .....	DC	N							.0	
10.	Florida .....	FL	N							.0	
11.	Georgia .....	GA	N							.0	
12.	Hawaii .....	HI	N							.0	
13.	Idaho .....	ID	N							.0	
14.	Illinois .....	IL	N							.0	
15.	Indiana .....	IN	N							.0	
16.	Iowa .....	IA	N							.0	
17.	Kansas .....	KS	N							.0	
18.	Kentucky .....	KY	N							.0	
19.	Louisiana .....	LA	N							.0	
20.	Maine .....	ME	L	580,925,674	789,677		156,376,134			738,091,485	
21.	Maryland .....	MD	N							.0	
22.	Massachusetts .....	MA	N							.0	
23.	Michigan .....	MI	N							.0	
24.	Minnesota .....	MN	N							.0	
25.	Mississippi .....	MS	N							.0	
26.	Missouri .....	MO	N							.0	
27.	Montana .....	MT	N							.0	
28.	Nebraska .....	NE	N							.0	
29.	Nevada .....	NV	N							.0	
30.	New Hampshire .....	NH	N							.0	
31.	New Jersey .....	NJ	N							.0	
32.	New Mexico .....	NM	N							.0	
33.	New York .....	NY	N							.0	
34.	North Carolina .....	NC	N							.0	
35.	North Dakota .....	ND	N							.0	
36.	Ohio .....	OH	N							.0	
37.	Oklahoma .....	OK	N							.0	
38.	Oregon .....	OR	N							.0	
39.	Pennsylvania .....	PA	N							.0	
40.	Rhode Island .....	RI	N							.0	
41.	South Carolina .....	SC	N							.0	
42.	South Dakota .....	SD	N							.0	
43.	Tennessee .....	TN	N							.0	
44.	Texas .....	TX	N							.0	
45.	Utah .....	UT	N							.0	
46.	Vermont .....	VT	N							.0	
47.	Virginia .....	VA	N							.0	
48.	Washington .....	WA	N							.0	
49.	West Virginia .....	WV	N							.0	
50.	Wisconsin .....	WI	N							.0	
51.	Wyoming .....	WY	N							.0	
52.	American Samoa .....	AS	N							.0	
53.	Guam .....	GU	N							.0	
54.	Puerto Rico .....	PR	N							.0	
55.	U.S. Virgin Islands .....	VI	N							.0	
56.	Northern Mariana Islands .....	MP	N							.0	
57.	Canada .....	CAN	N							.0	
58.	Aggregate Other Aliens .....	OT	XXX	.0	.0	.0	.0	.0	.0	.0	.0
59.	Subtotal .....	XXX		580,925,674	789,677	.0	156,376,134	.0	.0	738,091,485	.0
60.	Reporting Entity Contributions for Employee Benefit Plans .....	XXX								.0	
61.	Totals (Direct Business) .....	XXX		580,925,674	789,677	0	156,376,134	0	0	738,091,485	0
DETAILS OF WRITE-INS											
58001.	.....	XXX									
58002.	.....	XXX									
58003.	.....	XXX									
58998.	Summary of remaining write-ins for Line 58 from overflow page .....	XXX		.0	.0	.0	.0	.0	.0	.0	.0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) .....	XXX		0	0	0	0	0	0	0	0

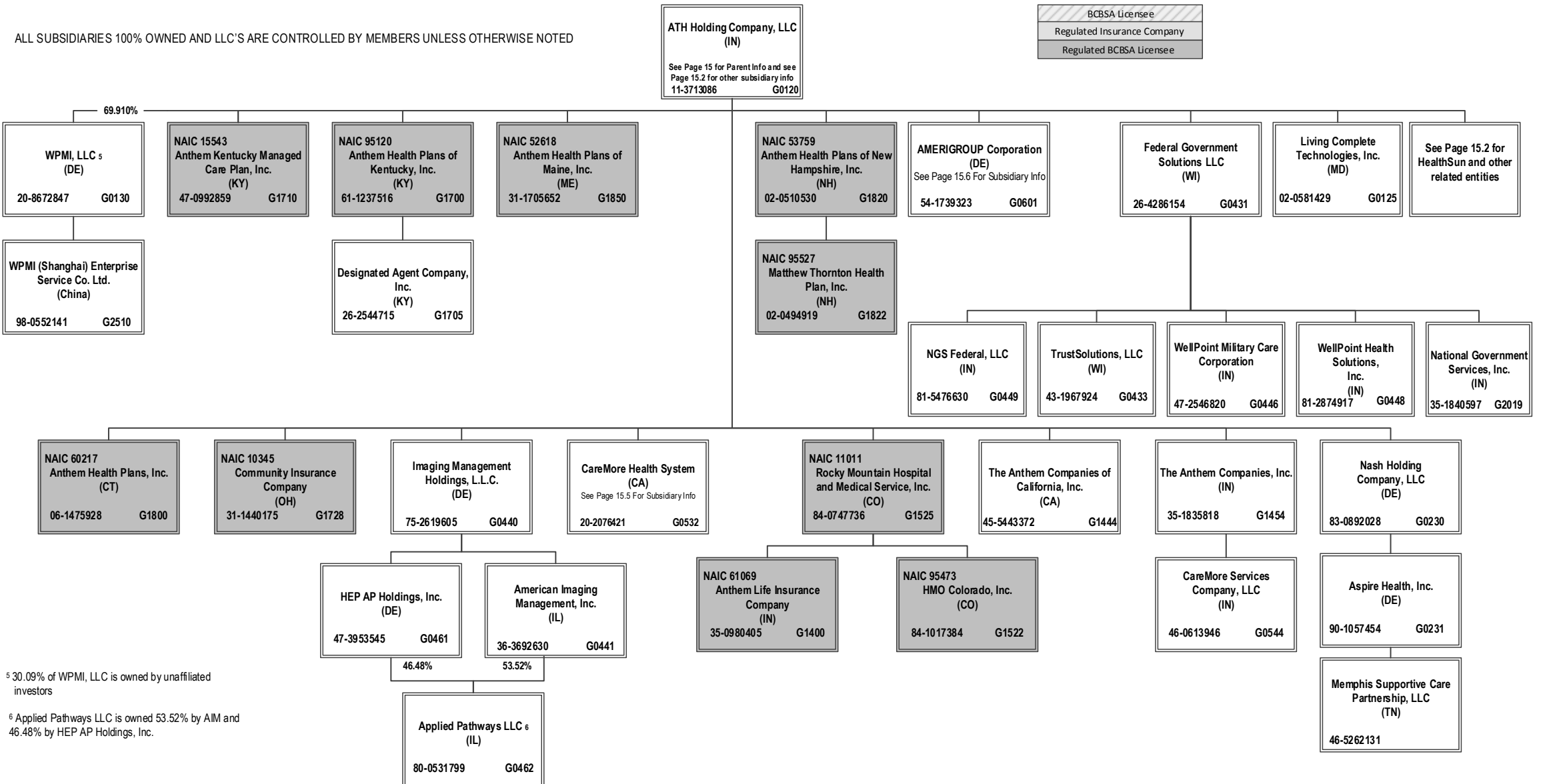
(a) Active Status Counts:  
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....1  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0  
N - None of the above - Not allowed to write business in the state.....56  
R - Registered - Non-domiciled RRGs.....0  
Q - Qualified - Qualified or accredited reinsurer.....0

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

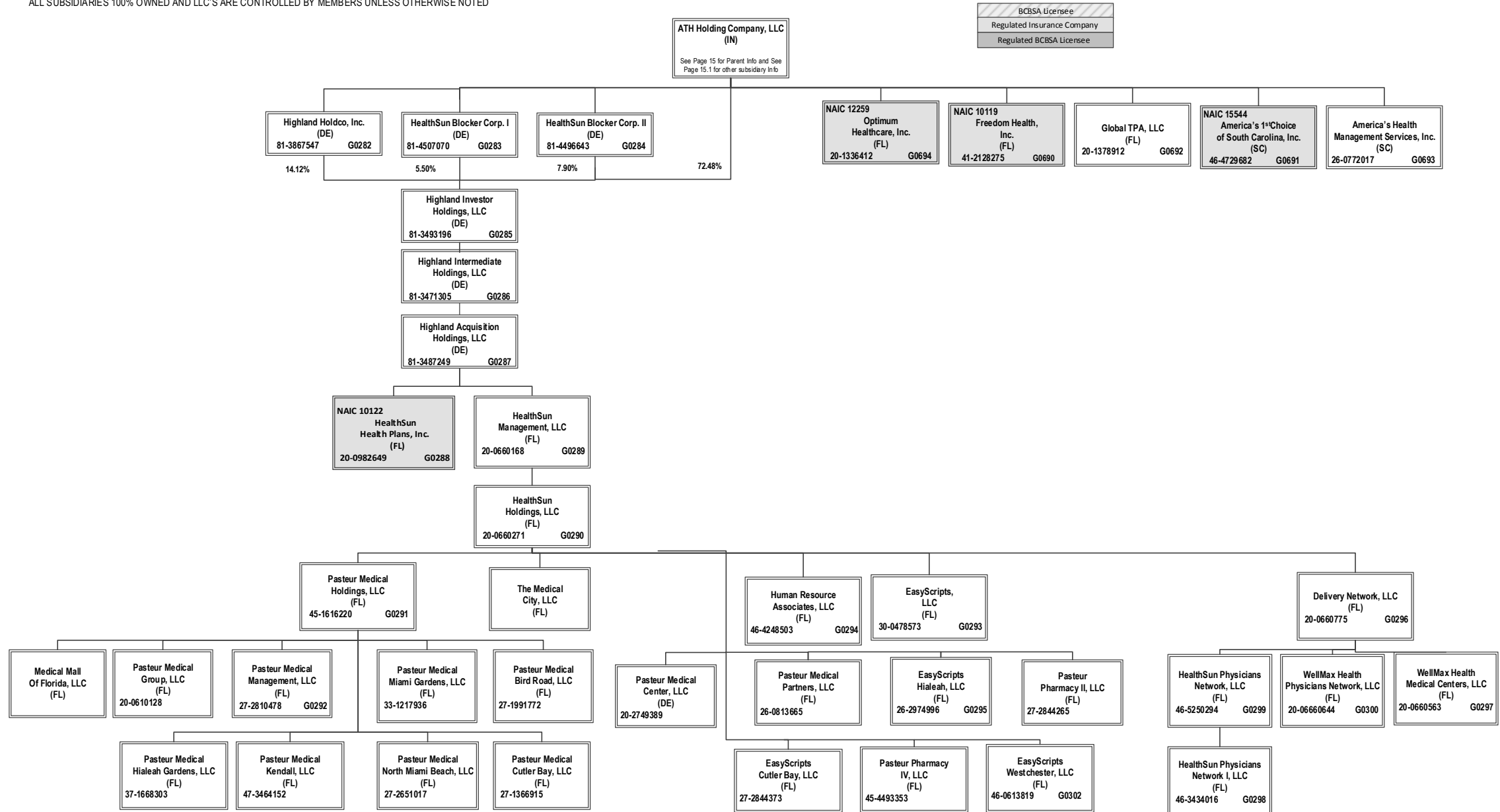


SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART



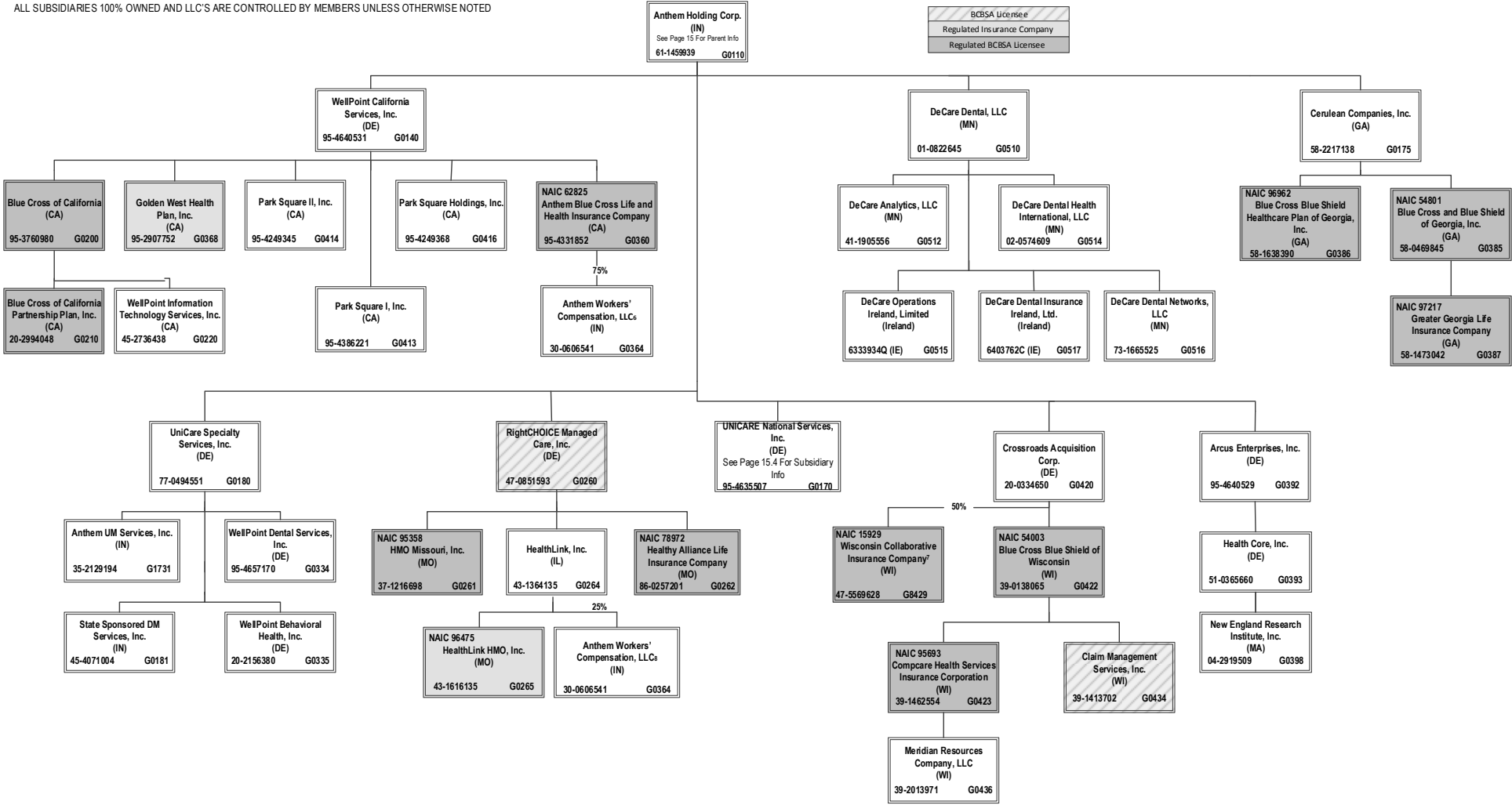
**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

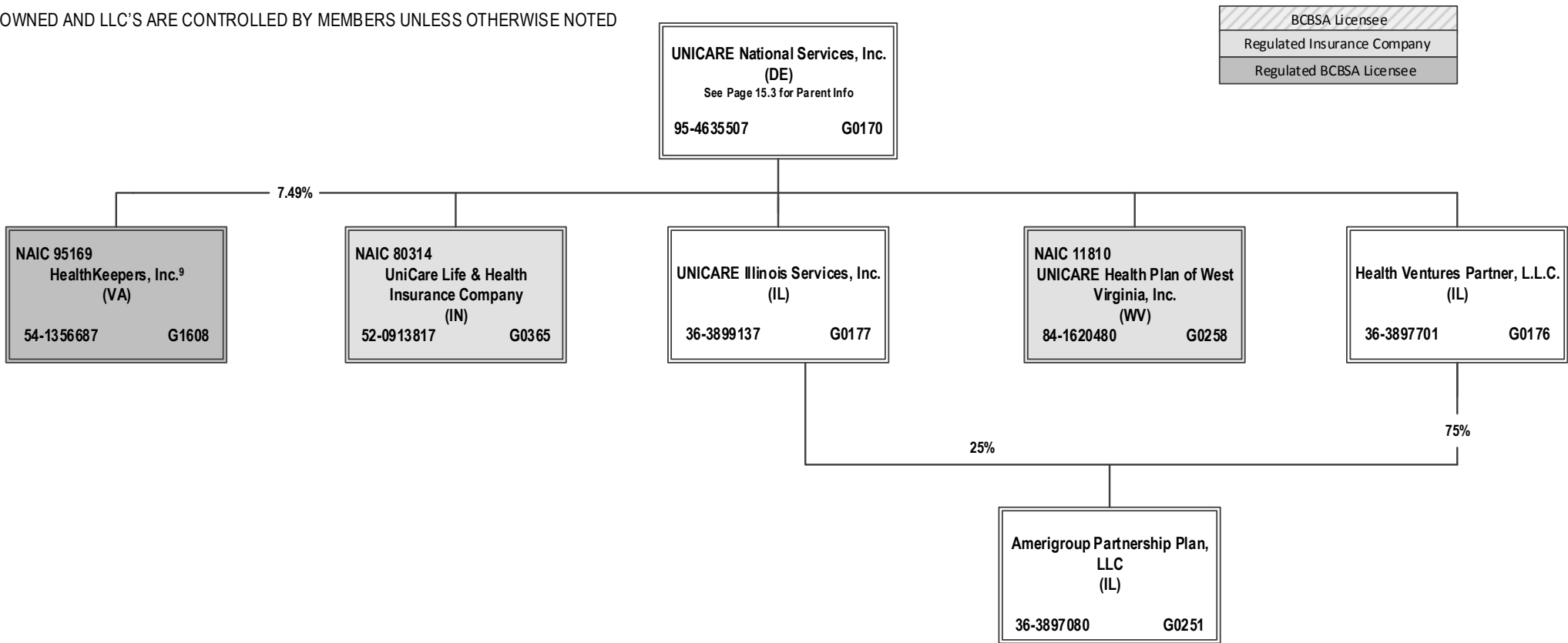


<sup>7</sup> 50% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate). Not consolidated for accounting purposes.

<sup>8</sup> Anthem Workers' Compensation LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



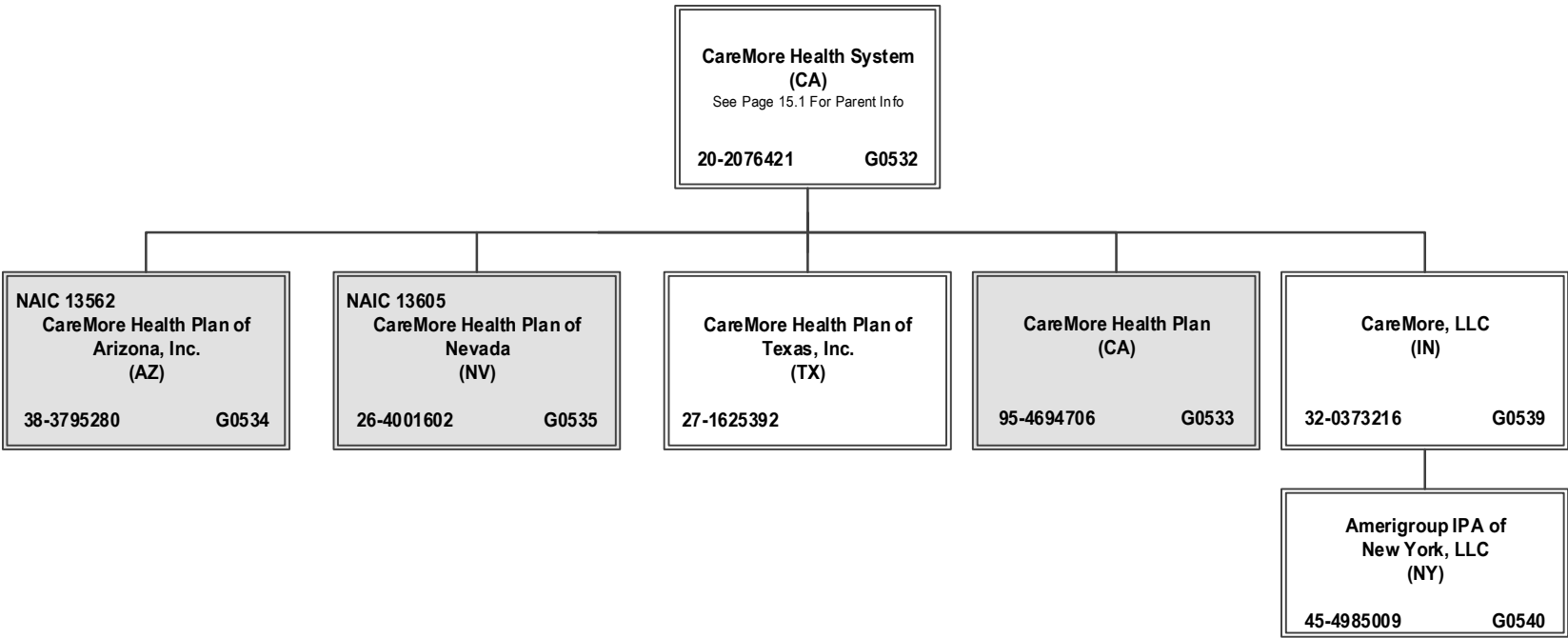
<sup>9</sup> HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.



**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

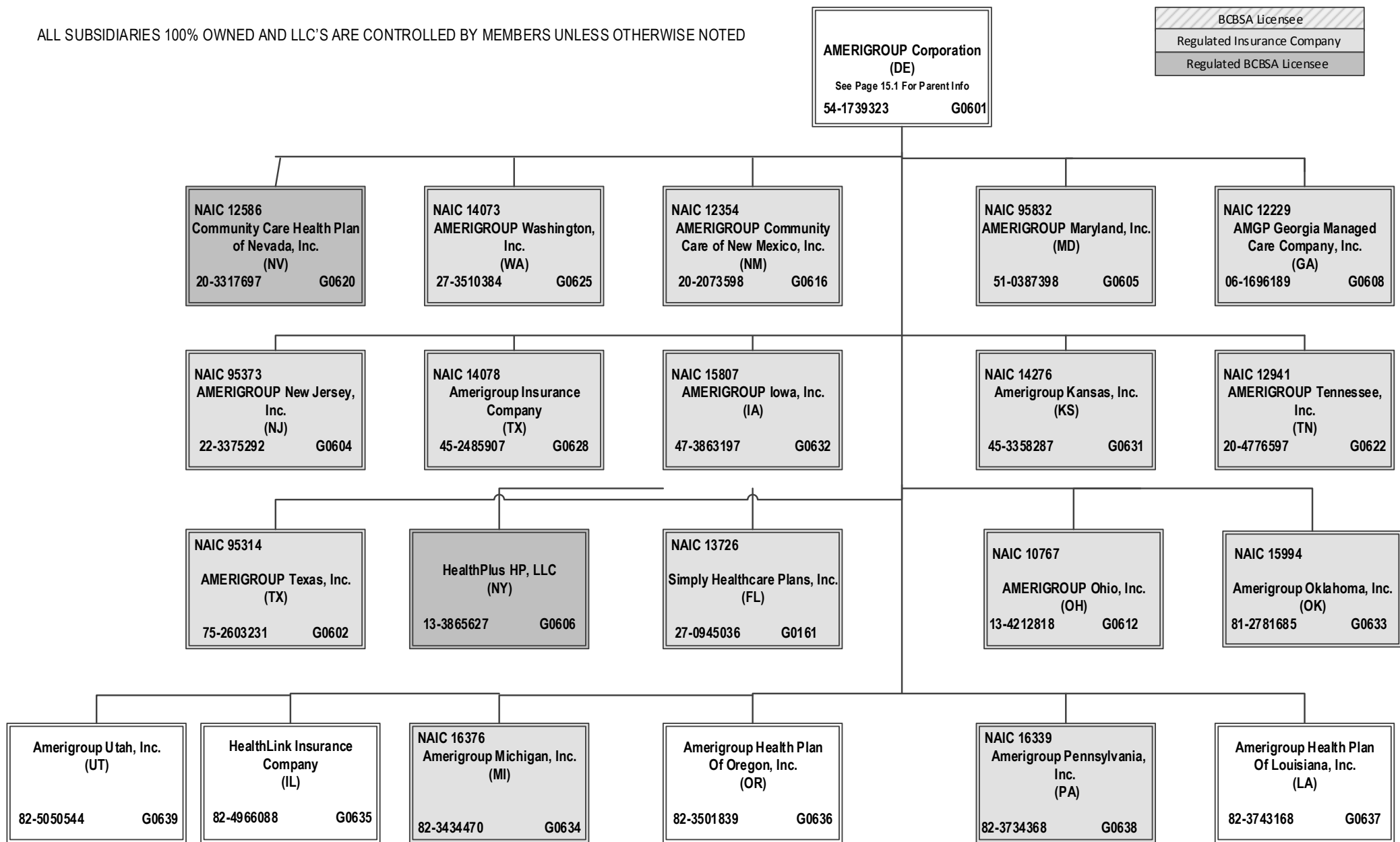
ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee



**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		36-3692630		0001156039		American Imaging Management, Inc. .... America's 1st Choice of South Carolina, Inc.	IL	NIA	Imaging Management Holdings, L.L.C. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15544	46-4729682		0001156039			SC	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		26-0772017		0001156039		America's Health Management Services, Inc. .... AMERIGROUP Community Care of New Mexico, Inc.	SC	NIA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12354	20-2073598		0001156039			NM	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		54-1739323		0001156039		AMERIGROUP Corporation .....	DE	NIA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-1800037		0001156039		AMERIGROUP Delaware, Inc. ....	DE	NIA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16168	81-4131800		0001156039		Amerigroup District of Columbia, Inc. ....	DC	IA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-3743168		0001156039		Amerigroup Health Plan of Louisiana, Inc. ....	LA	NIA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16376	82-3434470		0001156039		Amerigroup Health Plan of Michigan, Inc. .... Amerigroup Health Plan of Pennsylvania, Inc.	MI	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16339	82-3734368		0001156039			PA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-3501839		0001156039		Amerigroup Health Plan of Oregon, Inc. ....	OR	NIA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14078	45-2485907		0001156039		Amerigroup Insurance Company .....	TX	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15807	47-3863197		0001156039		AMERIGROUP Iowa, Inc. ....	IA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		45-4985009		0001156039		Amerigroup IPA of New York, LLC .....	NY	NIA	CareMore, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14276	45-3358287		0001156039		Amerigroup Kansas, Inc. ....	KS	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95832	51-0387398		0001156039		AMERIGROUP Maryland, Inc. ....	MD	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16376	82-3434470		0001156039		Amerigroup Michigan, Inc. ....	MI	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16145	81-4626605		0001156039		Amerigroup Mississippi, Inc. ....	MS	IA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95373	22-3375292		0001156039		AMERIGROUP New Jersey, Inc. ....	NJ	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	10767	13-4212818		0001156039		AMERIGROUP Ohio, Inc. ....	OH	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15994	81-2781685		0001156039		AMERIGROUP Oklahoma, Inc. ....	OK	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC .....	IL	NIA	Health Ventures Partner, L.L.C. ....	Ownership.....	75.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC .....	IL	NIA	UNICARE Illinois Services, Inc. ....	Ownership.....	25.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16339	82-3734368		0001156039		Amerigroup Pennsylvania, Inc. ....	PA	IA	AMERIGROUP Corporation .....	Ownership.....	25.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12941	20-4776597		0001156039		AMERIGROUP Tennessee, Inc. ....	TN	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95314	75-2603231		0001156039		AMERIGROUP Texas, Inc. ....	TX	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14073	27-3510384		0001156039		AMERIGROUP Washington, Inc. ....	WA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-5050544		0001156039		AMERIGROUP Utah, Inc. ....	UT	NIA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12229	06-1696189		0001156039		AMGP Georgia Managed Care Company, Inc. .... Anthem Blue Cross Life and Health Insurance Company	GA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	62825	95-4331852		0001156039			CA	IA	WellPoint California Services, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-1898945		0001156039		Anthem Financial, Inc. ....	DE	NIA	Associated Group, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95120	61-1237516		0001156039		Anthem Health Plans of Kentucky, Inc. ....	KY	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.Y	.0108
.0671	Anthem, Inc.	52618	31-1705652		0001156039		Anthem Health Plans of Maine, Inc. ....	ME	RE	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	53759	02-0510530		0001156039		Anthem Health Plans of New Hampshire, Inc. ....	NH	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	71835	54-0357120	40003317	0001156039		Anthem Health Plans of Virginia, Inc. ....	VA	IA	Anthem Southeast, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	60217	06-1475928		0001156039		Anthem Health Plans, Inc. ....	CT	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		61-1459939		0001156039		Anthem Holding Corp. ....	IN	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-2145715		0001156039	New York Stock Exchange (NYSE)	Anthem, Inc. ....	IN	UIP				Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	28207	35-0781558		0001156039		Anthem Insurance Companies, Inc. ....	IN	IA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.Y	.0108
.0671	Anthem, Inc.	15543	47-0992859		0001156039		Anthem Kentucky Managed Care Plan, Inc. ....	KY	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	13573	20-5876774		0001156039		Anthem Life & Disability Insurance Company ..	NY	IA	WellPoint Acquisition, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	61069	35-0980405		0001156039		Anthem Life Insurance Company .....	IN	IA	Service, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.				0001156039		Anthem Merger Sub Corp. ....	DE	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		81-3974489		0001156039		Anthem Partnership Holding Company, LLC .....	DE	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		32-0031791		0001156039		Anthem Southeast, Inc. ....	IN	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-2129194		0001156039		Anthem UM Services, Inc. ....	IN	NIA	UNICARE Specialty Services, Inc. .... Anthem Blue Cross Life and Health Insurance Company	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC .....	IN	NIA		Ownership.....	75.000	Anthem, Inc. ....	.N	

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC	IN	NIA	HealthLink, Inc.	Ownership	25.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		80-0531799		0001156039		Applied Pathways, LLC	IL	NIA	American Imaging Management, Inc./HEP AP	Ownership	100.000	Anthem, Inc.	N	.0107
.0671	Anthem, Inc.		95-4640529		0001156039		Arcus Enterprises, Inc.	DE	NIA	Holdings, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		90-1057454		0001156039		Aspire Health, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1292384		0001156039		Associated Group, Inc.	IN	NIA	Nash Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		11-3713086		0001156039		ATH Holding Company, LLC	IN	UDP	Anthem Insurance Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	54801	58-0469845		0001156039		Blue Cross and Blue Shield of Georgia, Inc.	GA	IA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	96962	58-1638390		0001156039		Blue Cross Blue Shield Healthcare Plan of Georgia, Inc.	GA	IA	Cerulean Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	54003	39-0138065		0001156039		Blue Cross Blue Shield of Wisconsin	WI	IA	Crossroads Acquisition Corp.	Ownership	100.000	Anthem, Inc.	Y	
.0671	Anthem, Inc.		95-3760980		0001156039		Blue Cross of California	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	.0101
.0671	Anthem, Inc.		20-2994048		0001156039		Blue Cross of California Partnership Plan, Inc.	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	.0102
.0671	Anthem, Inc.		95-4694706		0001156039		CareMore Health Plan	CA	IA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	N	.0103
.0671	Anthem, Inc.	13562	38-3795280		0001156039		CareMore Health Plan of Arizona, Inc.	AZ	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	13605	26-4001602		0001156039		CareMore Health Plan of Nevada	NV	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-1625392		0001156039		CareMore Health Plan of Texas, Inc.	TX	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		32-0373216		0001156039		CareMore, LLC	IN	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-2076421		0001156039		CareMore Health System	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-0613946		0001156039		CareMore Services Company, LLC	IN	NIA	The Anthem Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		58-2217138		0001156039		Cerulean Companies, Inc.	GA	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		39-1413702		0001156039		Claim Management Services, Inc.	WI	NIA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	16345	82-1853423		0001156039		COHA, LLC	CO	IA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	N	.0107
.0671	Anthem, Inc.	14064	26-4674149		0001156039		Community Care Health Plan of Louisiana, Inc.	LA	IA	Anthem Partnership Holding Company, LLC	Ownership	80.000	Anthem, Inc.	N	.0109
.0671	Anthem, Inc.	12586	20-3317697		0001156039		Community Care Health Plan of Nevada, Inc.	NV	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	10345	31-1440175		0001156039		Community Insurance Company	OH	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95693	39-1462554		0001156039		Compcare Health Services Insurance Corporation	WI	IA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0334650		0001156039		Crossroads Acquisition Corp.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		41-1905556		0001156039		DeCare Analytics, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		02-0574609		0001156039		DeCare Dental Health International, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		DeCare Dental Insurance Ireland, Ltd.	IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		73-1665525		0001156039		DeCare Dental Networks, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		01-0822645		0001156039		DeCare Dental, LLC	MN	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		DeCare Operations Ireland, Limited	IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660775		0001156039		Delivery Network, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-2544715		0001156039		Designated Agent Company, Inc.	KY	NIA	Anthem Health Plans of Kentucky, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2844373		0001156039		EasyScripts Cutler Bay, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		37-1668303		0001156039		EasyScripts Hialeah, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		30-0478573		0001156039		EasyScripts LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-0613819		0001156039		EasyScripts Westchester, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		13-3934328		0001156039		EHC Benefits Agency, Inc.	NY	NIA	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	55093	23-7391136		0001156039		Empire HealthChoice Assurance, Inc.	NY	IA	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95433	13-3874803		0001156039		Empire HealthChoice HMO, Inc.	NY	IA	Empire HealthChoice Assurance, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-4286154		0001156039		Federal Government Solutions, LLC	WI	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	10119	41-2128275		0001156039		Freedom Health, Inc.	FL	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-1378912		0001156039		Global TPA, LLC	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-2907752		0001156039		Golden West Health Plan, Inc.	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	.0104
.0671	Anthem, Inc.	97217	58-1473042		0001156039		Greater Georgia Life Insurance Company	GA	IA	Blue Cross and Blue Shield of Georgia, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		51-0365660		0001156039		Health Core, Inc.	DE	NIA	Arcus Enterprises, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		54-1237939		0001156039		Health Management Corporation	VA	NIA	Southeast Services, Inc.	Ownership	100.000	Anthem, Inc.	N	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Perce-ntage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		36-3897701		0001156039		Health Ventures Partner, L.L.C.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	95169	54-1356687		0001156039		HealthKeepers, Inc.	VA	IA	Anthem Southeast, Inc.	Ownership	92.510	Anthem, Inc.	.N	
.0671	Anthem, Inc.	95169	54-1356687		0001156039		HealthKeepers, Inc.	VA	IA	UNICARE National Services, Inc.	Ownership	7.490	Anthem, Inc.	.N	
.0671	Anthem, Inc.	96475	43-1616135		0001156039		HealthLink HMO, Inc.	MO	IA	HealthLink, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		43-1364135		0001156039		HealthLink, Inc.	IL	NIA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		82-4966088		0001156039		HealthLink Insurance Company	IL	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		13-3865627		0001156039		HealthPlus HP, LLC	NY	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	.N	.0100
.0671	Anthem, Inc.		81-4507070		0001156039		HealthSun Blocker Corp. I	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		81-4496643		0001156039		HealthSun Blocker Corp. II	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	10122	20-0982649		0001156039		HealthSun Health Plans, Inc.	FL	IA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0660271		0001156039		HealthSun Holdings, LLC	FL	NIA	HealthSun Management, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0660168		0001156039		HealthSun Management, LLC	FL	NIA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		46-5250294		0001156039		HealthSun Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		46-3434016		0001156039		HealthSun Physicians Network I, LLC	FL	NIA	HealthSun Physicians Network, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	78972	86-0257201		0001156039		Healthy Alliance Life Insurance Company	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		47-3953545		0001156039		HEP AP Holdings, Inc.	DE	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		81-3867547		0001156039		Highland Holdco, Inc.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		81-3487249		0001156039		Highland Acquisition Holdings, LLC	DE	NIA	Highland Intermediate Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		81-3471305		0001156039		Highland Intermediate Holdings, LLC	DE	NIA	Highland Investor Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		81-3493196		0001156039		Highland Investor Holdings, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	95473	84-1017384		0001156039		HMO Colorado, Inc.	CO	IA	Service, Inc.	Ownership	100.000	Anthem, Inc.	.Y	.0108
.0671	Anthem, Inc.	95358	37-1216698		0001156039		HMO Missouri, Inc.	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.				0001156039		Human Resource Associates, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		75-2619605		0001156039		Imaging Management Holdings, L.L.C.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		82-3062245		0001156039		IngenioRX, Inc.	IN	NIA	Newco Holdings, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		98-1397080		0001156039		Legato Health Technologies LLC	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	.N	.0110
.0671	Anthem, Inc.		82-3030791		0001156039		Legato Holdings I, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		82-3031178		0001156039		Legato Holdings II, Inc.	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		02-0581429		0001156039		Living Complete Technologies, Inc.	MD	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	95527	02-0494919		0001156039		Matthew Thornton Health Plan, Inc.	NH	IA	Anthem Health Plans of New Hampshire, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.				0001156039		Medical Mall of Florida, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		46-5262131		0001156039		Memphis Supportive Care Partnership, LLC	TN	NIA	Nash Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.									Compcare Health Services Insurance Corporation	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		39-2013971		0001156039		Meridian Resource Company, LLC	WI	NIA		Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		83-0892028		0001156039		Nash Holding Company, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	.0111
.0671	Anthem, Inc.		35-1840597		0001156039		National Government Services, Inc.	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		46-1595582		0001156039		National Telehealth Network, LLC	DE	NIA	Sellcore, Inc.	Ownership	50.000	Anthem, Inc.	.N	.0105
.0671	Anthem, Inc.		04-2919509		0001156039		New England Research Institute, Inc.	MA	NIA	Health Core, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		82-3027094		0001156039		Newco Holdings, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		81-5476630		0001156039		NGS Federal, LLC	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	12259	20-1336412		0001156039		Optimum Healthcare, Inc.	FL	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-4249368		0001156039		Park Square Holdings, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-4386221		0001156039		Park Square I, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-4249345		0001156039		Park Square II, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		27-1991772		0001156039		Pasteur Medical Birds Road, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-2749389		0001156039		Pasteur Medical Center, LLC	DE	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		27-1366915		0001156039		Pasteur Medical Cutler Bay, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0610128		0001156039		Pasteur Medical Group, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		37-1668303		0001156039		Pasteur Medical Hialeah Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		45-1616220		0001156039		Pasteur Medical Holdings, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		47-3464152		0001156039		Pasteur Medical Kendall, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		27-2810478		0001156039		Pasteur Medical Management, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		33-1217936		0001156039		Pasteur Medical Miami Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2651017		0001156039		Pasteur Medical North Miami Beach, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-0813665		0001156039		Pasteur Medical Partners, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2844265		0001156039		Pasteur Pharmacy II, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-4493353		0001156039		Pasteur Pharmacy IV, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		56-2396739		0001156039		Resolution Health, Inc.	DE	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-0851593		0001156039		RightCHOICE Managed Care, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	11011	84-0747736		0001156039		Rocky Mountain Hospital and Medical Service, Inc.	CO	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0473316		0001156039		SellCore, Inc.	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	13726	27-0945036		0001156039		Simply Healthcare Plans, Inc.	FL	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		55-0712302		0001156039		Southeast Services, Inc.	VA	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-4071004		0001156039		State Sponsored DM Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1835818		0001156039		The Anthem Companies, Inc.	IN	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-5443372		0001156039		The Anthem Companies of California, Inc.	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		The Medical City, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		43-1967924		0001156039		TrustSolutions, LLC	WI	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	11810	84-1620480		0001156039		UNICARE Health Plan of West Virginia, Inc.	WV	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-3899137		0001156039		UNICARE Illinois Services, Inc.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	80314	52-0913817		0001156039		UNICARE Life & Health Insurance Company	IN	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4635507		0001156039		UNICARE National Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3300542		0001156039		UNICARE Specialty Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660563		0001156039		Valus, Inc.	IN	NIA	IngenioRX, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660644		0001156039		WellMax Health Medical Centers, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-4014617		0001156039		WellMax Health Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-4405193		0001156039		WellPoint Acquisition, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-2156380		0001156039		WellPoint Behavioral Health, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4640531		0001156039		WellPoint California Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4657170		0001156039		WellPoint Dental Services, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-2874917		0001156039		WellPoint Health Solutions, Inc.	DE	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-3620996		0001156039		WellPoint Holding Corp	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.						WellPoint Information Technology Services, Inc.	CA	NIA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-2736438		0001156039		WellPoint Insurance Services, Inc.	HI	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-2546820		0001156039		WellPoint Military Care Corporation	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	15929	47-5569628		0001156039		Wisconsin Collaborative Insurance Company	WI	IA	Crossroads Acquisition Corp.	Ownership	50.000	Anthem, Inc.	N	0107
.0671	Anthem, Inc.		98-0552141		0001156039		WPMI (Shanghai) Enterprise Service Co. Ltd.	CHN	NIA	WPMI, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-8672847		0001156039		WPMI, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	69.910	Anthem, Inc.	N	0106

Asterisk	Explanation
0100	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the New York State Department of Health.
0101	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0102	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0103	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0104	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0105	50% owned by unaffiliated investors
0106	30.09% owned by unaffiliated investors
0107	50% owned by an unaffiliated investor
0108	Received exemption from domestic regulator
0109	20% owned by an unaffiliated investor

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

Asterisk	Explanation
0110 .....	Legato Health Technologies LLP is a Limited Liability Partnership formed under the laws of India, and is 99% owned by Legato Holdings I, Inc. an Indiana corporation, and 1% owned by Legato Holdings II, LLC, an Indiana Limited Liability company. ....
0111 .....	ATH Holding Company, LLC holds an 89.67% ownership interest with certain former holders of Aspire Health, Inc. collectively holding the remainder of the shares .....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]





OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 23

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
2304.	Performance Guarantee Liability .....	512,575		512,575	507,026
2305.	Other Liabilities .....	510,943		510,943	2,822,735
2306.	Blue Card Liabilities .....	154,652		154,652	670,707
2397.	Summary of remaining write-ins for Line 23 from overflow page	1,178,170	0	1,178,170	4,000,468

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	72,500	72,500
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other than temporary impairment recognized .....		0
8. Deduct current year's depreciation .....		0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	72,500	72,500
10. Deduct total nonadmitted amounts .....		0
11. Statement value at end of current period (Line 9 minus Line 10) .....	72,500	72,500

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage investment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment including accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	137,479	137,985
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....	(17,479)	(506)
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		0
10. Deduct current year's other than temporary impairment recognized .....	120,000	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	137,479
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	0	137,479

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	217,739,541	190,140,929
2. Cost of bonds and stocks acquired .....	90,501,111	137,276,855
3. Accrual of discount .....	446,681	467,095
4. Unrealized valuation increase (decrease) .....	(35,001)	(264,608)
5. Total gain (loss) on disposals .....	838,554	1,437,606
6. Deduct consideration for bonds and stocks disposed of .....	38,329,689	110,436,759
7. Deduct amortization of premium .....	593,345	881,577
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	39,281	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	270,607,133	217,739,541
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	270,607,133	217,739,541

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	235,459,955	23,848,582	20,279,798	1,990,155	241,674,464	235,459,955	241,018,894	186,301,139
2. NAIC 2 (a) .....	37,429,316	1,000,000	18,786	(2,041,989)	28,562,995	37,429,316	36,368,541	28,626,171
3. NAIC 3 (a) .....	0				6,105,457	0	0	3,111,600
4. NAIC 4 (a) .....	0				0	0	0	
5. NAIC 5 (a) .....	0				0	0	0	
6. NAIC 6 (a) .....	0				0	0	0	
7. Total Bonds	272,889,271	24,848,582	20,298,584	(51,834)	276,342,916	272,889,271	277,387,435	218,038,910
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0	0	0
9. NAIC 2 .....	0				0	0	0	0
10. NAIC 3 .....	0				0	0	0	0
11. NAIC 4 .....	0				0	0	0	0
12. NAIC 5 .....	0				0	0	0	0
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	272,889,271	24,848,582	20,298,584	(51,834)	276,342,916	272,889,271	277,387,435	218,038,910

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ 6,780,301 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	4,291,303	xxx	4,286,111	0	4,599

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	.870,724
2. Cost of short-term investments acquired .....	4,982,823	323,556,965
3. Accrual of discount .....	7,464	5,031
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	8	(2,305)
6. Deduct consideration received on disposals .....	698,992	324,430,415
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	4,291,303	0
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	4,291,303	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	16,841,203	20,587
2. Cost of cash equivalents acquired .....	983,489,648	280,704,813
3. Accrual of discount .....	51,256	22,538
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(299)	375
6. Deduct consideration received on disposals .....	997,892,809	263,907,110
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	2,488,999	16,841,203
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	2,488,999	16,841,203

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
912828-4T-4 .....	US TREASURY N/B 2.625% 06/15/21 .....		..07/05/2018 .....	HSBC .....		2,498,145	2,500,000	3,765	1.....
912828-Y6-1 .....	US TREASURY SEC 2.750% 07/31/23 .....		..08/02/2018 .....	Bony/Barclays Capital Inc .....		4,180,476	4,200,000	942	1.....
0599999. Subtotal - Bonds - U.S. Governments						6,678,621	6,700,000	4,707	XXX
09681L-AH-3 .....	BOC AVIATION LTD SERIES 144A 3.499% 09/26/23 .....	D.....	..09/19/2018 .....	Citigroup Global Markets .....		3,400,000	3,400,000	0	1FE.....
98886M-BA-1 .....	ZAIS CLO 1 LTD SERIES 2014-1A CLASS A1AR 144A 3.489% 04/15/28 .....	D.....	..09/18/2018 .....	NOMURA SEC INTERNATIONAL INC .....		2,600,000	2,600,000	0	1FE.....
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						6,000,000	6,000,000	0	XXX
857477-BA-0 .....	STATE STREET CORP SERIES H 5.625% Perpet .....		..09/20/2018 .....	Goldman Sachs & Co .....		1,000,000	1,000,000	0	2FE.....
4899999. Subtotal - Bonds - Hybrid Securities						1,000,000	1,000,000	0	XXX
8399997. Total - Bonds - Part 3						13,678,621	13,700,000	4,707	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						13,678,621	13,700,000	4,707	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						13,678,621	XXX	4,707	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Anthem Health Plans of Maine, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
912828-4T-4	US TREASURY N/B 2.625% 06/15/21		08/02/2018	Bank of America		2,490,723	2,500,000	2,498,145	.0	.0	38	.0	38	.0	2,498,182	.0	(7,460)	(7,460)	8,786	06/15/2021	1
0599999	Subtotal - Bonds - U.S. Governments					2,490,723	2,500,000	2,498,145	0	0	38	0	38	0	2,498,182	0	(7,460)	(7,460)	8,786	XXX	XXX
3128MJ-2S-8	FHLMC GOLD POOL G08784 3.500% 10/01/47		09/01/2018	Paydown		143,228	143,228	147,256	147,237	.0	(4,009)	.0	(4,009)	.0	143,228	.0	.0	.0	3,362	10/01/2047	1
3128MJ-2W-9	FHLMC GOLD POOL G08788 3.500% 11/01/47		09/01/2018	Paydown		35,091	35,091	36,148	36,140	.0	(1,049)	.0	(1,049)	.0	35,091	.0	.0	.0	.818	11/01/2047	1
3128MJ-2X-7	FHLMC GOLD POOL G08789 4.000% 11/01/47		09/01/2018	Paydown		169,755	169,755	178,839	178,774	.0	(9,020)	.0	(9,020)	.0	169,755	.0	.0	.0	4,544	11/01/2047	1
3138WJ-6C-7	FNMA POOL AS8966 4.000% 03/01/47		09/01/2018	Paydown		259,084	259,084	265,045	.0	.0	(5,961)	.0	(5,961)	.0	259,084	.0	.0	.0	4,388	03/01/2047	1
3140FP-DG-1	FNMA POOL BE3702 4.000% 06/01/47		09/01/2018	Paydown		215,791	215,791	220,756	.0	.0	(4,965)	.0	(4,965)	.0	215,791	.0	.0	.0	3,500	06/01/2047	1
3140GT-6Z-8	FNMA POOL BH5387 4.000% 09/01/47		09/01/2018	Paydown		387,610	387,610	396,528	.0	.0	(8,918)	.0	(8,918)	.0	387,610	.0	.0	.0	6,791	09/01/2047	1
3140GV-MJ-1	FNMA POOL BH6660 4.000% 08/01/47		09/01/2018	Paydown		485,876	485,876	497,055	.0	.0	(11,179)	.0	(11,179)	.0	485,876	.0	.0	.0	8,624	08/01/2047	1
3140Z7-FZ-5	FNMA POOL CAO183 4.000% 08/01/47		09/01/2018	Paydown		365,109	365,109	373,509	.0	.0	(8,400)	.0	(8,400)	.0	365,109	.0	.0	.0	5,900	08/01/2047	1
31418C-S4-7	FNMA POOL MA3238 3.500% 01/01/48		09/01/2018	Paydown		105,842	105,842	107,640	.0	.0	(1,798)	.0	(1,798)	.0	105,842	.0	.0	.0	2,173	01/01/2048	1
3199999	Subtotal - Bonds - U.S. Special Revenues					2,167,386	2,167,386	2,222,776	362,151	0	(55,299)	0	(55,299)	0	2,167,386	0	0	0	40,100	XXX	XXX
07388H-UL-3	BEAR STEARNS ALT A TRUST SERIES 2005-5 CLASS 24A1 4.339% 07/25/35		09/01/2018	Paydown		123,744	122,007	113,009	113,141	.0	10,603	.0	10,603	.0	123,744	.0	.0	.0	3,058	07/25/2035	1FM
2254W0-NZ-4	CS FIRST BOSTON COMMERCIAL MOR SERIES 2005-11 CLASS 6A6 6.000% 12/25/35		09/01/2018	Paydown		18,786	18,786	16,578	15,319	1,303	2,164	.0	3,467	.0	18,786	.0	.0	.0	747	12/25/2035	2FM
3622EB-AB-4	GSAA HOME EQUITY TRUST SERIES 2007-4 CLASS A2 2.416% 03/25/37		09/25/2018	Paydown		155,586	155,586	87,583	88,564	.0	67,022	.0	67,022	.0	155,586	.0	.0	.0	2,017	03/25/2037	1FM
45660K-AA-9	INDYMAC INDX MORTGAGE LOAN TRU SERIES 2006-AR39 CLASS A1 2.396% 02/25/37		09/25/2018	Paydown		165,150	180,451	170,526	170,464	.0	(5,313)	.0	(5,313)	.0	165,150	.0	.0	.0	2,366	02/25/2037	1FM
04941K-AS-8	ATLAS SENIOR LOAN FUND LTD SERIES 2013-2A CLASS A1RR 144A 2.994% 02/17/26	D	08/15/2018	Paydown		86,305	86,307	86,307	.0	.0	.0	.0	.0	.0	86,307	.0	.0	.0	667	02/17/2026	1FE
55320R-AA-5	MP CLO VII LTD SERIES 2015-1A CLASS A1R 144A 3.173% 04/18/27	D	09/12/2018	Paydown		4,000,000	4,000,000	4,000,000	4,000,000	.0	.0	.0	.0	.0	4,000,000	.0	.0	.0	83,316	04/18/2027	1FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					4,549,571	4,563,137	4,474,003	4,387,488	1,303	74,476	0	75,779	0	4,549,573	0	0	0	92,171	XXX	XXX
8399997	Total - Bonds - Part 4					9,207,680	9,230,523	9,194,924	4,749,639	1,303	19,215	0	20,518	0	9,215,141	0	(7,460)	(7,460)	141,057	XXX	XXX
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					9,207,680	9,230,523	9,194,924	4,749,639	1,303	19,215	0	20,518	0	9,215,141	0	(7,460)	(7,460)	141,057	XXX	XXX
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999	Totals					9,207,680	XXX	9,194,924	4,749,639	1,303	19,215	0	20,518	0	9,215,141	0	(7,460)	(7,460)	141,057	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

SCHEDULE DL - PART 1  
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation/ Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
06417G-Q2-7	BANK OF NOVA SCOTIA HOUSTON		1	231,102	230,881	03/20/2019
20271E-NH-7	COMMONWEALTH BANK AUS, NEW YORK		1	129,340	129,294	04/23/2019
89114M-ER-4	TORONTO DOMINION NY		1	184,705	184,705	09/17/2019
94989R-R2-6	WELLS FARGO BANK SAN FRANCISCO N.A.		1	461,816	461,763	07/11/2019
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				1,006,963	1,006,643	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				1,006,963	1,006,643	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
6099999. Subtotal - SVO Identified Funds				0	0	XXX
6199999. Total - Issuer Obligations				1,006,963	1,006,643	XXX
6299999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6399999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6499999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6599999. Total - SVO Identified Funds				0	0	XXX
6699999. Total Bonds				1,006,963	1,006,643	XXX
7099999. Total - Preferred Stocks				0	0	XXX
7599999. Total - Common Stocks				0	0	XXX
7699999. Total - Preferred and Common Stocks				0	0	XXX
000000-00-0	CIC LONDON			208,370	206,061	10/25/2018
000000-00-0	ML PIERCE FENNER & SMITH INC			923,526	923,526	12/20/2018
000000-00-0	MORGAN STANLEY			923,526	923,526	11/08/2018
000000-00-0	UNITED OF OMAHA LIFE INSURANCE			92,353	92,353	10/29/2018
000000-00-0	DBS BANK LIMITED, SINGAPORE			277,058	277,058	10/05/2018
000000-00-0	AUSTRALIA NEW ZEALAND SINGAPORE			92,353	92,353	11/13/2018
05971X-GY-1	BANCO DEL ESTADO DE CHILE NY			184,696	184,705	03/20/2019
06053P-P7-6	BANK OF AMERICA CHARLOTTE			184,705	184,705	01/15/2019
06119R-ML-6	BANK OF CHINA, HONG KONG BRANCH			64,275	64,235	12/20/2018
06120B-L2-1	BANK OF CHINA (HONG KONG) LIMITED			276,448	275,300	11/02/2018
06742T-HN-9	BARCLAYS NEW YORK			129,292	129,294	02/01/2019
06742T-HR-0	BARCLAYS NEW YORK			55,400	55,412	02/04/2019
21684B-SH-3	RABOBANK NEW YORK			277,061	277,058	10/01/2018
21684B-SJ-9	RABOBANK NEW YORK			147,877	147,764	04/05/2019
22536U-SP-6	CIC NY			277,189	277,058	02/05/2019
44988K-EP-0	ING (US) Funding LLC			184,800	184,705	02/08/2019
44988K-GA-1	ING (US) Funding LLC			277,086	277,058	05/10/2019
45580D-TH-7	IND AND COM BK OF CHINA LTD, NY BR			184,708	184,705	10/24/2018
45580D-UH-5	IND AND COM BK OF CHINA LTD, NY BR			36,940	36,941	12/24/2018
55379H-DA-1	MUFG Bank Ltd, New York Branch			369,480	369,410	10/29/2018
60700A-S3-9	MIZUHO BANK LIMITED NEW YORK			92,353	92,353	03/05/2019
60700A-WX-8	MIZUHO BANK LIMITED NEW YORK			286,300	286,293	10/11/2018
63873N-UN-9	NATIXIS NY			92,402	92,353	03/05/2019
65590A-UR-5	NORDEA BK AB NY			184,705	184,705	04/05/2019
82124H-LS-7	SHEFFIELD RECEIVABLE CORP			91,998	91,145	11/26/2018
83369Y-D7-8	SOCIETE GENERALE NEW YORK			184,702	184,705	10/02/2018
83369Y-XP-6	SOCIETE GENERALE NEW YORK			92,368	92,369	12/03/2018
85520M-L8-4	STARBIRD FUNDING CORP			55,270	55,096	11/08/2018
86564F-AN-3	SUMITOMO MITSUI TRUST BANK LTD,NY			277,060	277,058	10/11/2018
86564F-DB-6	SUMITOMO MITSUI TRUST BANK LTD,NY			184,707	184,705	10/15/2018
86565B-MC-2	SUMITOMO BK NY			184,684	184,705	03/05/2019
86958J-QM-4	SVENSKA NY			184,739	184,725	01/03/2019
90276J-DQ-6	UNION BANK OF SWITZERLAND CP			277,313	277,058	02/01/2019
961204-YT-2	WESTPAC BKG CORP			92,404	92,353	05/24/2019
961204-ZC-8	WESTPAC BKG CORP			184,705	184,705	08/08/2019
8999999. Total - Short-Term Invested Assets (Schedule DA type)				7,632,853	7,627,555	XXX
000000-00-0	NATIXIS FINANCIAL PRODUCTS LLC			120,058	120,058	10/01/2018
000000-00-0	ING FINANCIAL MARKETS LLC			461,763	461,763	10/01/2018
000000-00-0	MORGAN STANLEY			369,410	369,410	10/02/2018
000000-00-0	CANADIAN IMPERIAL BANK G.C.			36,941	36,941	10/01/2018
000000-00-0	SHINKIN CENTRAL BANK			184,705	184,705	10/26/2018
000000-00-0	UNITED OVERSEAS BANK LTD, NY			184,705	184,705	10/01/2018
000000-00-0	DEUTSCHE BANK SECURITIES INC.			114,683	114,683	10/01/2018
000000-00-0	NOMURA SECURITIES			92,353	92,353	10/01/2018
06119R-KK-0	BANK OF CHINA, HONG KONG BRANCH			110,681	110,140	10/19/2018
16706B-SU-8	THE CHIBA BANK LTD NEW YORK BRANCH			92,351	92,353	10/05/2018
16706B-6D-5	THE CHIBA BANK LTD NEW YORK BRANCH			277,050	277,053	11/09/2018
51500L-T3-5	LANDESBANK BADEN WUERTTEMBERG, NY			92,350	92,353	10/02/2018
9199999. Total - Cash Equivalents (Schedule E Part 2 type)				2,137,050	2,136,517	XXX
9999999 - Totals				10,776,866	10,770,715	XXX

General Interrogatories:

1. Total activity for the year
- Fair Value \$ 5,140,596
- Book/Adjusted Carrying Value \$ 5,134,445
2. Average balance for the year
- Fair Value \$ 5,055,085
- Book/Adjusted Carrying Value \$ 5,052,564
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
- NAIC 1 \$ 10,656,032
- NAIC 2 \$ 114,683
- NAIC 3 \$ 0
- NAIC 4 \$ 0
- NAIC 5 \$ 0
- NAIC 6 \$ 0

**SCHEDULE DL - PART 2**  
**SECURITIES LENDING COLLATERAL ASSETS**

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

# NONE

1. Total activity for the year
2. Average balance for the year

E11

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Bank of America ..... 101 S. Tryon Street, 19th Floor, Charlotte, NC 28255					(4,539,491)	(6,155,958)	(3,741,137)	XXX
Bank of New York Mellon (or ML) ..... 500 Ross Street, Suite 154-1320, Pittsburgh, PA 15262					(73,334)	(88,794)	(152,759)	XXX
Citi bank ..... One Penn's Way, New Castle, DE 19720					101,678	927,822	105,798	XXX
JP Morgan Chase ..... 4 New York Plaza, 13th Floor, New York, NY 10004					879,037	688,483	774,357	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(3,632,110)	(4,628,447)	(3,013,741)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(3,632,110)	(4,628,447)	(3,013,741)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	(3,632,110)	(4,628,447)	(3,013,741)	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]